Docket No.

296975US0X PC

IN THE UNI ES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Tetsuzo MIKI, et al.

JAN 0 9 2007

SERIAL NO: 10/594,273

FILED:

September 26, 2006

FOR:

CARBAZOLE DERIVATIVE CONTAINING FLUORENE GROUP AND ORGANIC ELECTROLUMINESCENT

ELEMENT

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

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ATTY DOCKET NO. SERIAL NO. U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Form PTO 1449 (Modified) 296975US0X PCT 10/594,273 **APPLICANT** LIST OF REFERENCES CITED BY APPLICANT Tetsuzo MIKI, et al. FILING DATE **GROUP** September 26, 2006 **U.S. PATENT DOCUMENTS** SUB **EXAMINER** DOCUMENT FILING DATE CLASS DATE NAME INITIAL NUMBER CLASS IF APPROPRIATE AΑ ΑB AC ΑD ΑE AF AG ΑН ΑI ΑJ ΑK AL AM AN FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT DATE COUNTRY NUMBER YES NO 03/25/04 JAPAN w/English Abstract AO 2004-91350 ΑP 03/18/04 JAPAN w/English Abstract 2004-83483 05/08/03 JAPAN w/English Abstract AQ 2003-128651 AR 2001-39933 02/13/01 JAPAN w/English Abstract JAPAN w/English Abstract AS 2000-327639 11/28/00 ΑT 2005-104971 04/21/05 JAPAN w/English Abstract ΑU 2005-085599 03/31/05 JAPAN w/English Abstract ΑV 08/048656 02/20/96 JAPAN w/English Abstract OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) Applied Physics Letters, Vol. 75, No. 1 (1999) "Very High-Efficiency Green Organic Light-Emitting Devices Based on ΑW Electrophosphorescence" M. A. Baldo, pages 4-6 M & BE Association, Vol. 11, No. 1 pages 32-41 AX AY ΑZ Additional References sheet(s) attached Examiner **Date Considered** *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.